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2	Regulation of inhibitory synapses by presynaptic D <sub>4</sub> dopamine receptors in
3	thalamus
4	Gubbi Govindaiah <sup>a,c</sup> , Tongfei Wang <sup>c</sup> , Martha U. Gillette <sup>c,d,e</sup> , Shane R. Crandall <sup>e</sup>
5	and Charles L. Cox <sup>a,b,c,e</sup>
6	<sup>a</sup> Beckman Institute for Advanced Science and Technology, <sup>b</sup> Department of Pharmacology
7	<sup>c</sup> Department of Molecular & Integrative Physiology, <sup>d</sup> Cell and Developmental Biology,
8	<sup>e</sup> Neuroscience Program
9	University of Illinois, Urbana, IL 61801
10	
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22	Corresponding Author:
23 24 25 26 27 28	Govindaiah G, Ph.D. 2365 Beckman Institute for Advanced Science and Technology 405 North Matthew Avenue Urbana, IL 61801 Ph: (217) 333-6203, (217) 244-6618 Fax: (217) 244-5180
29 30	Email: goviks@life.uiuc.edu

# 31 ABSTRACT

32 Dopamine (DA) receptors are the principal targets of drugs used in the treatment of 33 schizophrenia. Among the five DA receptor subtypes, the D<sub>4</sub> subtype is of particular interest because of the relatively high affinity of the atypical neuropleptic clozapine for D<sub>4</sub> compared to 34 35 D<sub>2</sub> receptors. Gamma-amino butyric acid (GABA)-containing neurons in the thalamic reticular 36 nucleus (TRN) and globus pallidus (GP) express D<sub>4</sub> receptors. TRN neurons receive GABAergic 37 afferents from globus pallidus (GP), substantia nigra pars reticulata (SNr), basal forebrain, as 38 well as neighboring TRN neuron collaterals. In addition, TRN receives dopaminergic 39 innervations from substantia nigra pars compacta (SNc); however, the role of  $D_4$  receptors in 40 neuronal signaling at inhibitory synapses is unknown. Using whole cell recordings from in vitro 41 pallido-thalamic slices, we demonstrate that DA selectively suppresses GABAA receptor-42 mediated inhibitory postsynaptic currents (IPSCs) evoked by GP stimulation. The D<sub>2</sub>-like 43 receptor  $(D_{2,3,4})$  agonist, quinpirole, and selective  $D_4$  receptor agonist, PD168077, mimicked the 44 actions of DA. The suppressive actions of DA and its agonists were associated with alterations in 45 paired pulse ratio (PPR) and a decrease in the frequency of miniature IPSCs (mIPSCs), 46 suggesting a presynaptic site of action. GABA<sub>A</sub> receptor agonist, muscimol, induced 47 postsynaptic currents in TRN neurons were unaltered by DA or quinpirole, consistant with the 48 presynaptic site of action. Finally, DA agonists did not alter intra-TRN inhibitory signaling. Our 49 data demonstrate that the activation of presynaptic  $D_4$  receptors regulates GABA release from 50 GP efferents, but not TRN collaterals. This novel and selective action of D<sub>4</sub> receptor activation 51 on GP-mediated inhibition may provide insight to potential functional significance of atypical 52 antipsychotic agents. These findings suggest a potential heightened TRN neuron activity in 53 certain neurological conditions, such as schizophrenia and attention deficit hyperactive disorders.

# 55 INTRODUCTION

56 The thalamus relays sensory and motor information to the cerebral cortex and receives strong modulatory input back from the cortex. Both thalamocortical and corticothalamic 57 58 projection neurons send collaterals to ventral thalamic nuclei (Jones, 1975). Gamma-amino-59 butyric acid (GABA)-containing thalamic reticular nucleus (TRN) neurons provide a major 60 source of inhibitory synaptic input to thalamocortical (TC) neurons (Huguenard and McCormick, 61 2007; Pinault, 2004; Schofield et al., 2009). By modulating the flow of information through the 62 thalamus, TRN has been hypothesized to play a major role in the control of attention and sensory 63 processing (Guillery et al., 1998;Mayo, 2009;McAlonan et al., 2008;Rees, 2009;Yu et al., 2009). 64 The TRN is also a key player in various types of rhythmic activity associated with certain arousal 65 mechanisms and epileptiform activities (Huguenard and McCormick, 2007;Huntsman et al., 66 1999;McCormick and Bal, 1997;Sohal et al., 2000;Steriade, 1992;Steriade et al., 1993;Steriade, 67 1997;vonKrosigk et al., 1993;Hughes et al., 2002).

68 Dopamine (DA) is a major neuromodulator in the brain, and its dysfunction has been 69 implicated in multiple human neurological and psychiatric disorders (Di Chiara G, 70 2002; Takahashi et al., 2006). Within thalamic circuitry, DA-dependent actions are thought to 71 play a potentially significant role in emotion, attention, cognition, and complex somatosensory 72 and visual processing (Takahashi et al., 2006). Alterations in thalamic DA receptors are also 73 implicated in various neurological and psychiatric disorders (Behrendt, 2006;Buchsbaum et al., 74 2006;Di Chiara G, 2002;Kane et al., 2009;Takahashi et al., 2006;Yasuno et al., 2004). 75 Anatomical studies have shown that TRN receives a dopaminergic innervation from the 76 substantia nigra pars compacta (SNc; Anaya-Martinez et al., 2006; Gandia et al., 1993; Garcia-77 Cabezas et al., 2007;Garcia-Cabezas et al., 2009;Sanchez-Gonzalez et al., 2005) and expresses

78 DA receptors (Khan et al., 1998; Mrzljak et al., 1996). In addition, TRN receives GABAergic 79 projections from globus pallidus (GP), substantia nigra pars reticulata (SNr) and basal forebrain 80 (Anaya-Martinez et al., 2006; Asanuma and Porter, 1990; Asanuma, 1994; Bickford et al., 81 1994;Gandia et al., 1993;Hazrati and Parent, 1991;Pare et al., 1990). Inhibitory innervations 82 within TRN arise from local collaterals (Deleuze and Huguenard, 2006;Lam et al., 2006;Shu and 83 McCormick, 2002). GABAergic terminals within TRN are hypothesized to express D<sub>4</sub> receptors 84 (Ariano et al., 1997;Defagot et al., 1997;Mrzljak et al., 1996); however, the action of DA on 85 GABAergic inhibitory signaling in TRN has not been explored. In this study, we found that DA, 86 via presynaptic D<sub>4</sub> receptors, selectively suppresses GABA<sub>A</sub>-receptor mediated inhibition arising 87 from GP efferents without altering intra-TRN inhibitory signaling.

### **89 MATERIALS AND METHODS**

The present study was performed on Sprague Dawley rats (postnatal age 11–20 days). All experimental procedures were carried out in accordance with the National Institute of Health Guidelines for the Care and Use of Laboratory Animals and approved by the University of Illinois Animal Care and Use committee. Animals were maintained with 12 h ON-OFF light/dark schedule in a temperature-controlled environment, and food and water were provided ad libitum.

95 Brain slices containing thalamus and GP were prepared as previously described with 96 modifications (Lee et al., 2007). Rats were deeply anesthetized with sodium pentobarbital (50 97 mg/kg) and decapitated. The brains were quickly removed and placed into chilled (4°C), 98 oxygenated (5% CO<sub>2</sub>-95% O<sub>2</sub>) slicing medium containing (in mM): 2.5 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 10.0 99 MgCl<sub>2</sub>, 2.0 CaCl<sub>2</sub>, 26.0 NaHCO<sub>3</sub>, 11.0 glucose, and 234.0 sucrose. Brain slices (250 to 300 µm 100 thick) were cut in the horizontal plane using a vibrating tissue slicer (Leica, Germany). The slices 101 were transferred to a holding chamber containing oxygenated, physiological solution and 102 incubated (32°C) for  $\geq 1$  h prior to recording. The physiological solution contained (in mM): 103 126.0 NaCl, 2.5 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 2.0 MgCl<sub>2</sub>, 2.0 CaCl<sub>2</sub>, 26.0 NaHCO<sub>3</sub>, and 10.0 glucose. The 104 solution was continuously gassed with 95% O<sub>2</sub>-5% CO<sub>2</sub> to a final pH of 7.4. Individual slices 105 were transferred to a submersion type recording chamber maintained at 30±1°C and continuously 106 superfused (3 ml/min) with oxygenated solution.

107

# 108 *Recording procedures*

Whole-cell recordings were obtained using a microscope equipped with differential interference contrast (DIC) optics (Axioskop 2FS, Carl Zeiss) similar to that previously used (Govindaiah and Cox, 2006a;Govindaiah and Cox, 2006b). Specific thalamic nuclei were

112 distinguished using a low-power objective, and a high-power water-immersion objective was 113 used to identify individual neurons. Recording pipettes were pulled from borosilicate glass tubing 114 and had tip resistances of 4–7 MΩ. For voltage clamp recordings, the pipette solution contained 115 (in mM): 117.0 Cs-gluconate, 13.0 CsCl, 1.0 MgCl<sub>2</sub>, 0.07 CaCl<sub>2</sub>, 0.1 EGTA, 10.0 HEPES, 2.0 116 Na<sub>2</sub>-ATP and 0.4 Na-GTP. For current clamp experiments, the pipette solution contained (in 117 mM): 117.0 K-gluconate, 13.0 KCl, 1.0 MgCl<sub>2</sub>, 0.07 CaCl<sub>2</sub>, 0.1 EGTA, 10.0 HEPES, 2.0 Na<sub>2</sub>-118 ATP, 0.4 Na-GTP, and 0.3% biocytin. The pH and osmolarity of internal solution were adjusted 119 to 7.3 and ~290 mosm, respectively. The internal solution resulted in a 10 mV junction potential that has been corrected in the voltage measures. After forming whole cell configuration, the 120 121 recording was allowed to stabilize for at least 5 minutes prior to data acquisition. Inhibitory 122 postsynaptic currents (IPSCs) were optimized by using the cesium (Cs<sup>+</sup>)-based internal solution 123 and a 0 mV holding potential. All signals were obtained using a Multiclamp 700 amplifier 124 (Molecular Devices, Foster City, CA). For current-clamp recordings, an active bridge circuit was 125 continuously monitored and, if necessary, adjusted to balance the drop in potential produced by 126 passing current through the recording electrode. Recordings included in this study had initial 127 access resistances ranging from 5-12 M $\Omega$  and typically remained stable throughout the recording. 128 Data were omitted from the analyses if initial access resistance changed by  $\geq 20\%$ . Only neurons 129 that exhibited stable baseline with resting membrane potentials greater than -55 mV and 130 overshooting action potentials were included for data analyses.

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132 Stimulation procedures

133 IPSCs were evoked in TRN neurons by electrically stimulating GP (> 0.5 mm lateral to 134 TRN border, Fig. 1A) using monopolar electrode (200-700  $\mu$ A, 50  $\mu$ s duration). The stimulus intensity typically ranged from 200-400  $\mu$ A, but on occasions up to 700  $\mu$ A was used if no response was observed at the lower intensities. The actions of the dopaminergic agonists were reversible thereby suggesting a lack of damage to afferent fibers from the stimulus paradigm. IPSCs were pharmacologically isolated using NMDA and non-NMDA receptor antagonists, (±3-(2-carboxypiperazin-4-yl)-propyl-1-phosphonic acid (CPP, 10 $\mu$ M) and 6,7-dinitroquinoxaline-2,3-dione (DNQX; 20  $\mu$ M), respectively. In some neurons, focal application of glutamate via pressure ejection was used to evoke IPSCs in TRN neurons by neighboring neurons.

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#### 143 Drug administration

Concentrated stock solutions were originally prepared in appropriate solvents and diluted in physiological saline to final concentration just prior to use. Agonists were applied via a short bolus into an input line using a syringe pump (Govindaiah and Cox, 2005). DA and SKF38393 were prepared fresh prior to application, and DA was prepared with 0.08% ascorbic acid to prevent oxidation. All antagonists were bath applied at least 5-10 min prior to subsequent agonist application. DA was purchased from Sigma (St. Louis, MO), whereas all remaining compounds were purchased from Tocris (Ellisville, MO).

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# 152 Data acquisition and analyses

Quantification of evoked IPSC amplitude was performed on 5-10 consecutive responses in each experimental condition using pClamp software (Molecular Devices, Sunnyvale, CA USA). Miniature IPSCs (mIPSCs) were detected and analyzed using MiniAnalysis software (Synaptosoft, Leonia, NJ). The threshold for mIPSC detection was 10 pA and automatic detection was verified *post hoc* by visual analysis. The threshold for mIPSC detection was 158 established from the baseline noise level recorded in the presence of GABA<sub>A</sub> receptor antagonist 159 (SR95531, 10 µM) and glutamate receptor antagonists, CPP and DNOX. For quantification of 160 mIPSCs, the average mIPSC frequency was calculated from 60-second time windows: 1 minute 161 prior to agonist application, and 30 seconds following agonist application (Govindaiah and Cox, 162 2006b). To analyze glutamate evoked IPSCs in TRN, the frequency and amplitude of IPSCs 163 were quantified from 1-second windows for 5 consecutive sweeps for each condition. Due to 164 lack of clear baseline in these cases, the events were manually detected and subsequently the 165 frequency and amplitude of IPSCs quantified using Minianalysis software. Data are expressed as 166 mean  $\pm$  standard deviation, unless otherwise noted. Most analyses consisted of Student's t-test, 167 paired test if appropriate, unless otherwise noted. P-values <0.05 were considered statistically 168 significant.

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# 170 Histology

In order to confirm that the recordings were obtained from TRN neurons, the morphology
of recorded TRN neurons was recovered by filling neurons with a fluorescent dye (Alexa-594,
50 μM). Alexa-594 was included in the recording pipette and after recording, a z-stack of images
was captured using custom-made two-photon laser scanning microscopy (Prairie Technologies,
Middleton, WI USA) and collapsed using ImageJ software (NIH).

176

#### 178 **RESULTS**

The results presented in the present study were obtained from 80 TRN neurons. Inhibitory postsynaptic currents (IPSCs) in TRN neurons were evoked by GP stimulation (Fig. 1A) in the presence of NMDA and AMPA receptor glutamatergic antagonists, CPP (10  $\mu$ M) and DNQX (20  $\mu$ M), respectively. The evoked IPSCs (eIPSCs) were completely attenuated by the antagonist SR95531 (10  $\mu$ M), indicating that these events are mediated by GABA<sub>A</sub> receptors (Fig. 1C).

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#### 186 DA suppresses GABA<sub>A</sub> receptor- mediated inhibition in TRN neurons

187 We initially tested the effects of DA on GABAA receptor- mediated IPSCs evoked by GP stimulation. Short duration DA application (2.5-25 µM, 20-30 s) reversibly suppressed the 188 189 eIPSCs amplitudes in a reversible manner (Fig. 2Ai). Overall, DA produced a concentration-190 dependent suppression of the eIPSC amplitude up to  $43 \pm 11\%$  with an IC<sub>50</sub> of 5.1  $\mu$ M 191 (Boltzman fit). As illustrated in figure 2A<sub>2</sub>, DA reduced the eIPSC amplitude by  $9 \pm 4\%$  (control: 192 496±143 pA, DA: 455±144 pA, n=5, p<0.001),  $37 \pm 14\%$  (control: 481±128 pA, DA: 306±108 193 pA, n=7, p<0.0007), and  $43 \pm 11\%$  (control: 469±124 pA, DA: 275±100 pA, n=8, p<0.0004) at 194 2.5, 10, and 25  $\mu$ M, respectively. There was no significant differences between 10  $\mu$ M and 195 25 µM DA.

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### 197 Suppressive actions of DA are mediated via activation of $D_4$ receptors

We next used selective receptor agonists and antagonists to determine the receptor subtype(s) mediating the DA effect. Similar to DA, the D<sub>2</sub>-like receptor (D<sub>2,3,4</sub>) agonist quinpirole (5-10  $\mu$ M) and selective D<sub>4</sub> receptor agonist PD168077 (25-50  $\mu$ M) reversibly

201 suppressed eIPSCs in TRN neurons (Fig. 2B). The suppressive action of quinpirole (10 µM) was 202 reproducible to similar degree when applied at the interval of 5-10 minutes between each 203 application (Fig.2C). Short bath application (45 s) of quinpirole significantly reduced the eIPSC 204 amplitude from 544±245 pA to 347±140 pA (35.1±6.5%, n=10, p<0.0001, Fig. 2B). The 205 magnitude of the quinpirole effects did not significantly differ from the DA-mediated effects 206 (p > 0.2). The selective D<sub>4</sub> receptor agonist PD168077 (25-50  $\mu$ M) also suppressed the eIPSC by 207 37±12% (control: 565±179 pA, PD168077: 355±122 pA, n=5, p<0.0001, Fig. 2B2). In contrast, 208 the  $D_1$ -like receptor agonist SKF38393 (10  $\mu$ M) did not alter eIPSC amplitude in all cells tested 209 (3.4±5%, control: 506±182 pA, SKF38393: 501±174 pA, n=4, p<0.2, Fig. 2B). We further 210 confirmed the suppressive affects of D<sub>2</sub>-like and selective D<sub>4</sub> receptor agonists on eIPSCs using 211 selective antagonists. As illustrated in figure 3A, quinpirole produced a significant reduction in 212 the eIPSC amplitude from  $366\pm66$  pA to  $233\pm33$  pA ( $36.3\pm5\%$ , n=5, p<0.002), which recovered 213 following washout (360±70 pA). In the presence of D<sub>2</sub>-like receptor antagonist sulpiride 214 (10  $\mu$ M), subsequent quinpirole application significantly reduced eIPSC amplitude by 28.3 $\pm$ 8.8% 215 (Fig. 3A, n = 5, p<0.02). The quinpirole-mediated suppression of the eIPSC amplitude did not 216 significantly differ between control and sulpiride conditions (Fig. 3A, p=0.2). Considering the 217 repeated quinpirole applications in this experiment, in a different population of TRN cells, we 218 made repeated quinpirole applications (10 µM, 10 minutes interval) in control conditions and 219 found that the eIPSC amplitude was suppressed to a similar degree with each quinpirole 220 application, suggesting no desensitization with repeated applications (n=4, p=0.27, Fig. 2C). Of 221 the  $D_2$ -like receptors ( $D_2$ ,  $D_3$ ,  $D_4$ ), sulpiride has a higher affinity for  $D_2$  and  $D_3$  receptors (Werner 222 et al., 1996), suggesting the suppression may be mediated via  $D_4$  receptor activation.

223 To confirm the selective  $D_4$  receptor activation by agonist PD168077, we next tested the 224 actions of PD168077 in the presence of selective D<sub>4</sub> receptor antagonist L745870 (Patel et al., 225 1997). PD168077 (25 µM) reduced the eIPSC amplitude by 39.0±13.1% in control conditions 226 (Fig. 3B, control: 563±207 pA, PD168077: 341±137 pA, n=4, p<0.001). In the presence of 227 L745870 (50 µM), the subsequent application of PD168077 did not alter the eIPSC amplitude 228 (Fig. 3B, L7458706: 485±260 pA, PD168077+L7458706: 493±254 pA, n=4, p=0.1). We further 229 examined the sensitivity of the DA-mediated suppression of eIPSCs to the D<sub>4</sub> receptor 230 antagonist. In these neurons, DA (10 µM) significantly suppressed the eIPSC amplitude (Fig. 3C, 231 control: 522±127 pA, DA: 314±191pA, n=5, p<0.007). Following recovery, the D<sub>4</sub> receptor 232 antagonist L745870 (25  $\mu$ M) was bath applied for 7-10 minutes. In the presence of L745870, the 233 subsequent DA application did not alter the eIPSC amplitude significantly (Fig.3C, control: 234 519±126 pA, L745870+DA: 483±120 pA, n=5, p<0.03). Overall, these data indicate that 235 activation of D<sub>4</sub> receptors attenuates evoked inhibitory synaptic transmission arising from GP 236 stimulation.

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## 238 Pre-synaptic $D_4$ receptors modulates GABAergic signaling in TRN

To examine whether the inhibitory actions of DA on IPSCs is mediated by a pre- or postsynaptic action, we studied the effect of DA and quinpirole on eIPSCs produced by paired pulse stimulation as well as their effect on miniature IPSCs (mIPSCs). Paired pulse stimulation within GP (75-125 ms inter-stimulus intervals, ISI) resulted in paired pulse depression of the eIPSCs in TRN neurons (Fig. 4). The paired pulse ratio (PPR: IPSC<sub>2</sub>/ IPSC<sub>1</sub>) was calculated prior to and after agonist application. DA (25  $\mu$ M) attenuated the eIPSC and the PPR was significantly increased from 0.67±0.08 to 0.91±0.10 (Fig. 4A2; n=6, p<0.002), indicating a decrease in paired pulse depression. Similar to DA, quinpirole (10  $\mu$ M) also significantly increased the PPR from 0.63±0.04 to 0.79±0.09 (Fig. 4B; n=8, p<0.002). The alteration in PPR is consistent with a presynaptic site of action such as a change in release properties (Zucker and Regehr, 2002).

249 We next tested the effects of DA and dopaminergic agonists on mIPSC activity in TRN 250 neurons. The mIPSCs were pharmacologically isolated using 1 µM TTX, 10 µM CPP and 10 µM 251 DNQX, and recorded using a holding potential of 0 mV. Under these conditions, quinpirole (10 252  $\mu$ M, n=9) and DA (25  $\mu$ M, n=3) reduced mIPSC frequency, but not mIPSC amplitude. 253 Cumulative probability analyses indicate that quinpirole significantly increased the inter-event 254 interval (Fig. 5B,C) without significant alterations in mIPSC amplitude (Fig. 5D,E). Overall, 255 quinpirole decreased the frequency of mIPSCs by 37.0±12.5% (control: 1.0±0.4 Hz, quinpirole: 256 0.6±0.2 Hz, n=9, p<0.001, Fig. 5C). In contrast, quinpirole did not significantly alter mISPC 257 amplitude (control: 15.6±1.0 pA; quinpirole: 14.7±3.2 pA, n=9; p=0.5, Fig. 5E). The selective 258 alteration in mIPSC frequency, but not mIPSC amplitude, is consistent with a presynaptic site of 259 action.

Previous studies have demonstrated that activation of  $D_2$ -like receptors inhibits  $Ca^{2+}$ 260 261 currents through a pertussis toxin (PTX)-sensitive G protein ( $G_{i/0}$ , Yan et al, 1997). To determine 262 whether the presynaptic  $D_4$  receptor-mediated effect involves this G protein subtype, we 263 examined the effect of the sulfhydryl alkylating agent N-ethylmaleimide (NEM), which disrupts coupling of PTX-sensitive  $G_{i/o}$  type G proteins to  $Ca^{2+}$  channels (Shapiro et al, 1994). The 264 265 suppressive action of D<sub>4</sub> receptor agonist PD168077 on eIPSC was blocked by NEM (Fig. 6). In 266 control conditions, PD168077 (25  $\mu$ M) reduced the eIPSC amplitude by 36.2  $\pm$  13.7% (n=5, 267 p<0.01). Bath application of NEM (50  $\mu$ M) alone had no effect on the evoked IPSCs. In the 268 presence of NEM, PD168077 produced a smaller suppression of the eIPSC  $(9.9 \pm 4.1\%)$ , which is significantly smaller than that in the absence of NEM (p < 0.02), indicating that NEM-sensitive Gi proteins are coupled to presynaptic D<sub>4</sub> receptors.

271 As a measure of postsynaptic sensitivity, we tested if the DA and its agonists could alter 272 GABA<sub>A</sub> receptor-mediated currents in TRN neurons. GABA<sub>A</sub> receptor-mediated currents were 273 evoked by brief, focal application of the GABA<sub>A</sub> receptor agonist muscimol at 20-second 274 intervals. Muscimol (50 µM, 0.5 s duration) elicited repeatable outward currents (Fig. 7A) that 275 were completely attenuated by SR95531 (10 µM, not shown). The muscimol-induced currents 276 were unaltered by 25 µM DA (Fig. 7B, control: 691±167 pA, DA: 667±170, n=3; p=0.2,) or 10 µM quinpirole (Fig. 7B, control: 766±222, quinpirole: 729±227, n=6; p<0.05). These data 277 278 suggest that DA does not regulate postsynaptic GABA<sub>A</sub> receptors in TRN neurons. Overall, 279 these data indicate that the dopamine-mediated suppression of eIPSCs is due to a presynaptic 280 action, thereby reducing GABA release from their terminals originating from GP.

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#### 282 DA-mediated suppression of inhibition is restricted to GP-TRN pathway

283 Our results clearly demonstrate that the activation of DA D<sub>4</sub> receptors suppress inhibitory 284 synaptic transmission resulting from electrical stimulation of presumed GP efferents, but it is 285 unclear if this is a general action affecting all inhibitory inputs onto TRN neurons. We next 286 tested if DA could modulate intra-TRN inhibition considering these neurons form chemical 287 synapses with each other (Deleuze and Huguenard, 2006;Lam et al., 2006;Shu and McCormick, 288 2002). In order to evoke intra-TRN inhibitory responses, we focally applied glutamate via 289 pressure ejection within TRN near our recording. As shown in figure 8A, glutamate (500 µM, 50 290 ms) produced an inward current (likely via direct depolarization and/or electrical coupling) along 291 with an increase in spontaneous IPSCs (sIPSCs). These sIPSCs were completely antagonized by

292 a GABA<sub>A</sub> receptor antagonist SR95531 (Fig. 8A, SR95531). The glutamate-evoked IPSCs had 293 an average frequency of 23.7±11.4 Hz (Fig. 8B, n=6). After obtaining a consistent IPSCs 294 resulting from glutamate application, quinpirole (10 µM, 45-60 s) was applied via bolus. 295 Quinpirole did not alter the frequency of glutamate-evoked sIPSCs (Fig.8B, control: 25.8±11.3 296 Hz, quinpirole:  $24.3\pm10.9$  Hz, n=5, p=0.3). Similarly, D<sub>4</sub> receptor agonist PD168077 (25-50  $\mu$ M) 297 did not alter sIPSC frequency (Fig.8B, control: 23.3±12.7 Hz, PD168077: 20.6±11.5 Hz, n=5, 298 p=0.1). Likewise, sIPSC amplitudes were also unaltered by either quinpirole (control: 37.7±11.8 299 pA, quinpirole: 39.7±12.8 pA, n=5, p=0.9) or PD168077 (Fig.8C, control: 35.9±12.3 pA, 300 PD168077: 33.9±11.7 pA, n=5, p=0.4). The data suggests that the DA-mediated reduction in 301 inhibitory synaptic transmission in TRN neurons is limited to the GP-TRN inhibition and not 302 intra-TRN inhibition.

### **303 DISCUSSION**

304 We demonstrate that the activation of presynaptic  $D_4$  receptors selectively suppresses 305 GABA<sub>A</sub> receptor-mediated inhibition at pallido-thalamic innervation without altering intra-TRN 306 inhibition (Fig. 7). The reduction in GP-thalamic inhibition is by reducing GABA release at 307 presynaptic terminals of GP neurons via D<sub>4</sub> receptor activation. Despite the recognized 308 association of D<sub>4</sub> receptors with schizophrenia, attention deficit hyperactivity disorder, and other 309 mental disorders (Oak et al., 2000; Seeman et al., 1993), the cellular mechanisms by which D<sub>4</sub> 310 receptors modulate neuronal functions remain elusive. Anatomical studies have shown that D<sub>2</sub>-311 like dopamine receptors are expressed by GABAergic neurons including TRN and GP (Mrzljak 312 et al., 1996;Khan et al., 1998), however, their functional role on inhibitory synaptic transmission 313 has not been explored.

314 TRN receives GABAergic projections from GP, SNr, and basal forebrain (Anaya-315 Martinez et al., 2006; Asanuma and Porter, 1990; Asanuma, 1994; Bickford et al., 1994). In 316 addition, TRN neurons form intra-TRN connections via axon collaterals (Huntsman et al., 317 1999; Shu and McCormick, 2002). Although, the precise origin of GABA terminals within the 318 TRN containing the  $D_4$  receptors remains unclear, GP neurons have been shown to express  $D_4$ 319 receptor and its mRNA (Ariano et al., 1997;Defagot et al., 1997;Mrzljak et al., 1996). 320 Anatomical evidence suggests that TRN neurons receive dopaminergic innervations from 321 substantia nigra pars compacta (Anaya-Martinez et al., 2006;Garcia-Cabezas et al., 2007;Garcia-322 Cabezas et al., 2009;Sanchez-Gonzalez et al., 2005) and expresses DA receptors (Ariano et al., 323 1997; Defagot et al., 1997; Khan et al., 1998; Mrzljak et al., 1996). In this study, we have 324 demonstrated a functional role of D<sub>4</sub> receptors exclusively found on GABAergic neurons

325 (Mrzljak et al., 1996). Our present findings are supported by a evidence suggesting that the 326 activation of  $D_4$  receptors can modulate GABA release in TRN (Floran et al., 2004).

327 The dopaminergic system in TRN is thought to play crucial role in sensory gating, and 328 has been postulated that some of the manifestations of disorders of dopaminergic transmission 329 may be caused by abnormal TRN function. For example, the TRN plays a central role in the 330 control of attention (Guillery et al., 1998; Mayo, 2009; McAlonan et al., 2008; Rees, 2009; Yu et 331 al., 2009), and attention deficit hyperactive disorder (ADHD) is associated with genetic 332 abnormalities of dopamine D<sub>4</sub> receptors (LaHoste et al., 1996;Castellanos and Tannock, 2002). 333 Moreover, TRN lies at the interface of thalamocortical circuits between prefrontal cortex and 334 associated thalamic relay nuclei, thus alterations in TRN signaling will influence the gating 335 properties between these two structures, which could underlie the hypothesized role of TRN in 336 attention mechanisms (Zikopoulos and Barbas, 2006). Additionally, abnormal dopaminergic 337 function in the TRN may also contribute to some of the manifestations of Parkinson's disease, 338 such as the sleep disorders (Rye and Jankovic, 2002) and the abnormal processing of 339 proprioceptive signals (Dietz, 2002). By its connections with motor-related structures, TRN is 340 thought to play integrative role in motor functions (Anaya-Martinez et al., 2006;Kane et al., 341 2009;Obeso et al., 2008;Piggott et al., 2007). Thus, alterations in dopaminergic system in TRN 342 may lead to abnormal motor functions found in Parkinson's disease. In fact, the D<sub>4</sub> receptor-343 containing GABAergic neurons of the SNr and GP are thought to constitute major links in the 344 basal ganglia loop circuits that regulate the motor thalamus and the cortex in sequence. We 345 propose that activation of SNc neurons lads to release of DA in TRN and this in turn activates 346 D4 receptor on GP terminals leading to reduced GABA release. The reduced inhibition by activation of SNc neurons may lead to increased excitability of TRN neurons and decrease in 347

348 output of thalamocortical neurons. Thus, activation of D4 receptor can influence the349 thalamocortical circuit activity.

350 Thalamic inhibitory mechanisms have been shown to play crucial role in thalamocortical 351 oscillations associated with arousal and sleep mechanisms (Huguenard and McCormick, 352 2007; McCormick and Bal, 1997; Sohal et al., 2000; Sohal et al., 2003; Steriade, 1992; Steriade, 353 1997;vonKrosigk et al., 1993). Schizophrenic patients have been reported to show abnormalities 354 in slow-wave sleep that are correlated with the state of spindle activity and synchronization of 355 cortical and thalamic activity (Keshavan et al., 1995). Thus, thalamic D<sub>2</sub>-like receptors are 356 thought to play key roles in pathophysiology of schizophrenia (Buchsbaum et al., 2006). 357 Elevated levels of  $D_4$  receptors have been reported in schizophrenics (Seeman et al., 1993). In 358 addition, alterations in thalamic D<sub>2</sub> receptors have been reported in schizophrenia (Takahashi et 359 al., 2006). Clearly, there are a number of different alterations in thalamocortical activities that 360 may result in alterations in sensory processing and attentional modulation, the underlying 361 mechanisms leading to such alterations and further connection to the manifestation of specific 362 schizophrenic behaviors remains speculative (Behrendt, 2006). Nonetheless, malfunctioning of 363 DA receptors in TRN is one mechanism that would lead to abnormalities in thalamocortical 364 rhythms and thalamic gating that could contribute to some symptoms of schizophrenia. Additional studies are required to further unravel the functional significance of DA in the 365 366 thalamus under normal and pathological conditions such as schizophrenia.

### **368 FIGURE LEGENDS**

369 Figure 1: A: Image of horizontal brain slice at the level of GP and TRN illustrating electrode 370 placement. GP, globus pallidus; IC, internal capsule; TRN, thalamic reticular nucleus; VB, 371 ventrobasal; R. recording pipette, S. stimulation electrode. **B1**: Photomicrograph of a 372 representative TRN neuron. The morphology of neuron was recovered by including a fluorescent 373 dve Alexa-594 in recording pipette. B2: Characteristic responses of a TRN neuron to 374 hyperpolarizing and depolarizing current steps. TRN neurons display characteristic low-375 threshold calcium spikes (LTS) in response to hyperpolarizing current steps. C: Paired pulse 376 stimulation of GP evokes IPSCs recorded in TRN neuron. The IPSCs were isolated by using 377 NMDA receptor antagonist CPP (10  $\mu$ M) and non-NMDA receptor antagonist DNQX (20  $\mu$ M), 378 and were blocked by GABA<sub>A</sub> receptor antagonist SR95531 (10  $\mu$ M).

379

380 Figure 2: DA suppresses IPSCs in TRN neurons. A1: In a representative neuron, the IPSC is 381 reversibly attenuated by short application of DA at different concentrations (2.5 and 10  $\mu$ M) 382 Traces above are representative IPSCs and below is the time course of DA-mediated actions. A2: 383 Population data indicating a significant concentration-dependent suppression of eIPSC by DA. \*,p < 0.001, \*\*p < 0.0001. The number of cells tested for each group is shown in parenthesis. **B**: 384 385 D2-like receptor agonist quinpirole and D<sub>4</sub> receptor agonist PD168077 mimic the actions of DA. 386 **B1**: In a representative TRN neuron, quinpirole (10  $\mu$ M) reversibly suppresses IPSC amplitude. 387 In a different neuron, PD168077 (25  $\mu$ M) also reversibly suppresses IPSC amplitude. **B2**: 388 Population data indicate a significant suppression of IPSC amplitude by quinpirole (n=10) and 389 PD168077 (n=5). \*\* *p* < 0.0001.

391

392 Figure 3: Suppressive actions of DA on IPSCs mediated via  $D_4$  receptor activation. A1: 393 Representative synaptic responses (top) and time course (bottom) illustrating that quinpirole10 394  $\mu$ M) suppresses the IPSC in a reversible manner. Following washout, the D<sub>2</sub>-like receptor 395 antagonist sulpiride (10  $\mu$ M) was bath applied, and the subsequent application of quinpirole still 396 significantly attenuates IPSC amplitude. A2: Population data illustrating the suppressive actions 397 of quinpirole (\*\*p < 0.002, n=5) and this effect is not completely antagonized by sulpiride 398 (\*p < 0.02, n=5). **B1:** In a different TRN neuron, PD168077 (25  $\mu$ M) reversibly suppresses the 399 IPSC similar to quinpirole. Following recovery, the selective  $D_4$  receptor antagonist L745870 400 (50 µM) was bath applied, and the subsequent application of PD168077 does not alter IPSC 401 amplitude. **B2:** Population data illustrating reversible suppressive actions of PD168077 (\*p <402 0.001, n=4). In the presence of L745870, PD168077 no longer alters the IPSC (p=0.1, n=4). C1: 403 L745870 also blocks the DA-mediated suppression of the IPSC. In different TRN neurons, DA 404 strongly attenuates the IPSC. In the presence of L745870 (50  $\mu$ M), the DA-mediated suppression 405 is greatly reduced. C2: Population data illustrating the suppressive actions of DA alone (\*\*p <406 0.007, n=5) and its reduced action in presence of L745870 (\*p < 0.03, n=5).

407

**Figure 4:** DA reduces paired pulse depression of IPSC in TRN neurons. *A1*: Representative IPSCs recorded from TRN neuron using paired-pulse stimulation (100 ms ISI) of GP. DA (25  $\mu$ M) reduces the amplitude of the first IPSC (IPSC<sub>1</sub>) with little alteration in the second IPSC (IPSC<sub>2</sub>), leading to an increase in paired pulse ratio (PPR). Scaling of the first IPSC clearly illustrates the reduction in paired pulse depression. *A2*: Population data illustrate a significant increase in PPR by DA (\*p < 0.002, n=6) indicating a reduction in paired pulse depression. *B1*: 414 In a different neuron, quinpirole (10  $\mu$ M) produces a similar alteration in PPR as DA. *B2*: 415 Population data illustrate a significant increase in PPR by quinpirole (\*p < 0.002, n=8).

416

417 Figure 5: Activation of D<sub>2</sub> receptors increases miniature IPSC frequency, but not mIPSC 418 amplitude in TRN neurons. Miniature IPSCs (mIPSCs) were recorded in presence of TTX 419 (1 µM). A: Representative current traces reveal mIPSCs in control and following quinpirole 420 (10  $\mu$ M) application. **B**: Cumulative probability plots for neuron in A illustrating the increase in 421 inter-event intervals by quinpirole. C: Population data revealing that quinpirole significantly 422 reduces mIPSC frequency (\*p < 0.001, n=9). **D**: Cumulative probability plot for neuron in A 423 illustrating that mIPSC amplitude is unaltered by guinpirole. E: Population data reveal 424 quinpirole does not alter mIPSC amplitude (p=0.5, n=9).

425

426 **Figure 6:** The D<sub>4</sub>-mediated suppression of inhibition in TRN involves presynaptic inhibitory G 427 proteins (Gi). *A*: In a representative TRN neuron, eIPSCs are reversibly attenuated by PD168077 428 (25 μM); however the suppressive action of PD168077 is blocked in the presence of Gi-protein 429 inhibitor NEM (50 μM). *B*: Time course of the experiment illustrated in A. *C*: Population data 430 summarizing the effects of PD with and without NEM. n= 5, \*p < 0.01, \*\*p<0.02.

431

**Figure 7:** DA does not alter post-synaptic GABA<sub>A</sub> receptor mediated currents in TRN neurons. *A:* Current recording from a TRN neuron showing repeatable outward response to focal pressure application of GABA<sub>A</sub> receptor agonist muscimol (50  $\mu$ M, 50 ms). Bath application of either DA (25  $\mu$ M) or quinpirole (10  $\mu$ M) did not alter the muscimol-mediated outward currents. Representative examples are shown below. *B:* Population data illustrating amplitude of the muscimol-induced currents following DA (n= 3) or quinpirole (n= 6) exposure.

439 Figure 8: Activation of DA receptors does not alter intra-TRN inhibition. A: Current recording 440 from a TRN neuron reveals that focal glutamate application via pressure ejection within TRN 441 (500 µM, 50 ms, 30s interval) elicits short outward current and long-lasting inward current along 442 with increase in spontaneous IPSCs (sIPSCs, \*). After repeating this several times, either 443 quinpirole (10 µM) or PD168077 (50 µM) was bath applied (45 s). The sIPSCs evoked by 444 glutamate application are not altered by the DA agonists. Subsequent application of GABAA 445 receptor antagonist SR95531 (10 µM) completely blocked sIPSCs. B, C: Population data 446 indicating no significant change in sIPSC frequency (B) or sIPSC amplitude (C) by quinpirole or 447 PD168077.

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Figure 4







